奈米碳管與氧化鈦奈米點之陽極氧化鋁模板輔助成長

#### 與電子場效發射

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### 摘要

本研究利用奈米多孔性的陽極氧化鋁薄膜作為模板輔助成長奈米碳管與氧化 鈦奈米點之規則陣列並探討其電子場效發射性質。具有規則排列奈米孔洞的陽極 氧化鋁乃由熱蒸鍍鋁膜之兩階段陽極氧化處理所得,而奈米碳管乃以微波電漿電 子迴旋共振化學氣相沈積法成長於陽極氧化鋁薄膜的奈米孔洞內。奈米碳管的成 長受到奈米孔洞的限制與外加偏壓或電漿自我偏壓的影響,因而具有極佳的垂直 準直性。電子場效發射量測顯示陽極氧化鋁輔助成長的奈米碳管陣列具有優異的 場發射性質,歸因於其均勻的尺寸分佈、極佳的準直性與高度的石墨化等特性。 由於奈米碳管之管束密度對其電子場效發射具有關鍵性的影響,因此,本研究提 出一簡單且可靠的方法進行控制奈米碳管之管束密度。藉由調整甲烷與氫氣之反 應氣體比例即可有效地控制奈米碳管成長出奈米孔洞外之管束密度。此方法乃利 用奈米碳管成長與非晶質碳覆蓋奈米孔洞此二反應之間的動態競爭。隨著增加反 應氣氛之甲烷濃度,非晶質碳附產物之沈積速率增加,因而有效減少能即時成長 出孔洞外之奈米碳管的數量。當甲烷濃度由 9%增加至 91%, 奈米碳管之管束密度 降低達 4.5 倍。因為緩和了場遮蔽效應, 奈米碳管之場效發射性質因降低管束密度 而大幅提昇,然而,在高甲烷濃度的成長條件下,非晶質碳附產物亦會沈積於奈 米碳管表面引起額外的能障與電壓降,造成電子場效發射性質顯著的退化。

高度規則排列的氧化鈦奈米點陣列可直接由鋁與氮化鈦雙層薄膜之陽極氧化 處理獲得。氮化鈦薄膜之陽極氧化被侷限於首先形成之陽極氧化鋁奈米孔洞內,

-I-

因而得到奈米尺度的島狀氧化鈦陣列。奈米點之排列與形狀能如實的複製陽極氧 化鋁模板之奈米孔洞,且奈米點之尺寸可藉由調整陽極處理之參數準確的控制。 若採用磊晶的鋁與氮化鈦雙層薄膜將可更進一步改善奈米點的尺寸均勻度與表面 平整度。此新穎的技術極具潛力可應用於製作各種氧化物半導體奈米點的規則陣 列。此外,研究結果顯示氧化鈦奈米點之相變化行為與一般氧化鈦薄膜或粉末大 異其趣。經過高溫退火後,奈米點為銳鈦礦介穩相與金紅石穩定相的多晶所組成。 由於高溫結晶化的過程被限制於孤立的奈米島狀結構內,氧化鈦之晶粒成長與相 變化受到阻礙,導致銳鈦礦介穩相在高溫下仍能穩定存在於氧化鈦奈米點內。本 研究亦利用奈米點作為電子發射源製作場效發射三極體元件。此場效發射三極體 擁有 45 伏特之低開極啟始電壓,且在 120 伏特的開極電壓下,場效發射電流密度 高達 25 毫安培/平方公分。此奈米點之電子發射源具有低製程溫度與極佳的均勻性 等優點,將可滿足大面積場效發射顯示器之製程需求。



## Anodic aluminum oxide template assisted growth and electron field emission of carbon nanotubes and titanium oxide nanodots

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#### Abstract

Ordered arrays of carbon nanotubes (CNTs) and titanium oxide (TiO<sub>2</sub>) nanodots have been successfully prepared by using the nanoporous anodic aluminum oxide (AAO) films as templates. Nanoporous AAO templates with hexagonal pore arrangement were prepared by the two-step anodization of aluminum films. Highly aligned CNTs were grown in vertical channels of the AAO template by microwave plasma electron cyclotron resonance chemical vapor deposition (ECR-CVD). The segments of CNTs stretching out of the AAO nanopores still maintain relatively good alignment, and have a very slow growth rate, which allows us to obtain reproducible tube length by tuning the growth time. Field emission measurements of the CNTs showed good electron emission properties, attributed to their uniformity in size, good alignment, and good graphitization properties. We have also demonstrated that the tube number density of aligned CNTs grown over the AAO template can be directly controlled by adjusting the CH<sub>4</sub>:H<sub>2</sub> feed ratio during the CNT growth. We ascribe the variation of the tube density as a function of the CH<sub>4</sub>:H<sub>2</sub> feed ratio to the kinetic competition between outgrowth of CNTs from the AAO pore bottom and deposition of the amorphous carbon overlayer on the AAO template. A pore-filling ratio of 18 to 82% for the nanotubes overgrown out of nanopores on the AAO template can be easily achieved by adjusting the CH<sub>4</sub>:H<sub>2</sub> feed ratio. Enhanced field emission properties of CNTs were obtained by lowering the tube density on AAO. However, at a high CH<sub>4</sub> concentration, amorphous carbon byproduct deposit on the CNT surface can degrade the field emission property due to a high energy barrier and significant potential drop at the emission site.

Highly ordered nanodot arrays of TiO<sub>2</sub> were prepared from Al/TiN films on the silicon substrate by electrochemical anodization of a TiN layer using a nanoporous AAO film as the template. The arrangement and shape of the nanodots are in accordance with the nanopores of the AAO template. The size of the nanodots can be varied over a wide range (ten to several hundred nanometers) because the diameter of the AAO nanopores is dependent upon anodization parameters. The size uniformity and surface smoothness of the TiO<sub>2</sub> nanodots can be further improved by anodization of an epitaxial Al/TiN film stack on a sapphire substrate. The phase development of the isolated TiO<sub>2</sub> nanodots is very much different from TiO<sub>2</sub> thin films and powders. After high temperature annealing, the nanodots are polycrystalline and consist of a mixed phase of anatase and rutile instead of single rutile phase. We conclude that TiO<sub>2</sub> nanodots with a single phase of anatase can be realized as long as the size of the nanodots is smaller than the critical nuclei size for rutile formation. Using this novel approach, it is expected that nanodot arrays of various oxide semiconductors can be achieved. Furthermore, a field emission triode device using the self-organized nanodot arrays as electron emission source was proposed and fabricated. The field emission triodes with nanodot emitters exhibited a low gate turn-on voltage of 45 V and high emission current density of 25 mA/cm<sup>2</sup> at 120 V. The desirable electric properties and easily controllable fabrication process of the nanodot triodes show potential for application in field emission displays (FEDs) and vacuum microelectronics.

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# Contents

Abstract (in Chinese)	I
Abstract (in English)	.III
Acknowledgements	V
Contents	.VI
List of symbols	/III
Table Caption	Х
Figure Caption	.XI
Chapter 1 Introduction	1
1.1 Introduction to nanomaterials	1
1.2 Motivation	2
Chapter 2 Literature Review	6
2.1 Theoretical modeling of porous AAO formation	6
2.2 Synthesis methods of CNTs	9
2.3 Growth mechanisms for catalyst assisted CNTs	.12
2.4 Nanofabrications of well aligned CNT arrays	.15
2.5 Nanofabrication technologies of nanodot arrays	.19
2.6 Basic field emission theory	.24
2.7 Overview of cold cathode materials	26
2.8 Potential applications of field emission	28
Chapter 3 Experimental Methods	.32
3.1 Experimental flowchart	.32
3.2 Depositions of Al and TiN films	33
3.3 Electrochemical processes	34
3.4 Microwave plasma ECR-CVD system	.35
3.5 Material analysis methods	36
3.6 Field emission measurement	38
Chapter 4 Self-Organized Nanopore Arrays of AAO Films	.40
4.1 Introduction	.40
4.2 Al film electropolishing	.41
4.3 Two-step anodization	.43

4.4 Summary	50
Chapter 5 Growth of AAO Assisted CNT Arrays	
5.1 Introduction	
5.2 Integration of AAO and CNTs	
5.3 Field emission properties	57
5.4 Summary	59
Chapter 6 Tube Number Density Control of AAO Assisted CNT Arrays	
6.1 Introduction	60
6.2 Mechanisms for tube density control	61
6.3 Field emission of tube density controlled CNTs	68
6.4 Summary	73
Chapter 7 Growth of Self-Organized TiO <sub>2</sub> Nanodot Arrays	74
7.1 Introduction	74
7.2 Preparation and microstructure of TiO <sub>2</sub> nanodots	75
7.3 Shape improvement of TiO <sub>2</sub> nanodots	83
7.4 Phase stability of TiO <sub>2</sub> nanocrystals	87
7.5 Phase development of TiO <sub>2</sub> nanodots	89
7.6 Summary	92
Chapter 8 Applications of Nanodot Arrays in Field Emission Triodes	94
8.1 Introduction.	94
8.2 Field emission from nanodot arrays	95
8.3 Fabrication and characterization of field emission triodes	99
8.4 Summary	102
Chapter 9 Conclusions	104
Chapter 10 Future Prospects	
References	
Vita	125

## **List of Symbols**

- Ue: oxide/electrolyte interfacial speed
- Um: metal/oxide interfacial speed
- $\alpha_1$ : faradaic coefficient
- $\beta_1$ : faradaic coefficient
- γ<sub>1</sub>: faradaic coefficient
- $A_1$ : kinetic constant
- $B_1$ : kinetic constant
- $k_{\rm d}$ : kinetic constant
- $k_0$ : kinetic constant
- $E_{\rm e}$ : electric field strength at the oxide/electrolyte interface
- $E_{\rm m}$ : electric field strength at the metal/oxide interface
- $E_{\rm b}$ : base state electric field
- $V_0$ : applied voltage for aluminum anodization
- $D_a$ : aluminum oxide thickness
- R: AAO pore radius
- $R_0$ : AAO pore radius extrapolated to pH = 0
- *k*: a function of  $k_0$ ,  $k_d$ ,  $\alpha_1$ ,  $\beta_1$ , and  $\gamma_1$
- $\Delta \varphi$ : decrease in barrier height
- $\varphi$ : work function
- E: applied electric field
- q: electron charge
- $\varepsilon_0$ : permittivity of free space
- J: field-emission current density
- $A: 1.54 \times 10^{-10} (A V^{-2} eV)$
- *B*:  $6.83 \times 10^9$  (V eV<sup>-3/2</sup> m<sup>-1</sup>)
- y:  $3.7947 \times 10^{-4} E^{1/2} / \varphi$
- *I*: field emission current
- *V*: applied voltage
- $\alpha$ : emitting area
- $\beta$ : local field enhancement factor at the emitting surface

- *d*: distance between cathode and anode
- S: slope of the F-N plot
- $B_{\rm m}$ : magnetic field
- rc: cyclotron radius for electron orbiting in a magnetic field
- $\omega$ : microwave angular frequency
- $m_{\rm e}$ : mass of electron
- *e*: electron charge
- $V_{\rm e}$ : electron velocity component perpendicular to  $B_{\rm m}$
- $R_{\rm g}$ : average metal grain radius

*t*: time

- $\Gamma$ : a temperature-dependent parameter
- $E_{to}$ : turn-on electric field
- $E_{\text{loc}}$ : effective local electric field at the emitter tip
- $R_{\rm t}$ : radius of a free-standing emitter tip
- $\xi$ : a geometric factor with a value between 1 and 5
- *h*: effective barrier height
- *w*: effective barrier width
- Ra: mean roughness
- *γ*: surface free energy
- *f*: surface stress
- G: Gibbs free energy
- $\Delta G^{\rm o}$ : change of the molar standard free energy
- $\Delta_{\rm f}G^{\rm o}$ : standard free energy of formation
- $\boldsymbol{\epsilon}:$  ratio of surface stress to surface free energy
- M: molecular weight
- D: average diameter of nanoparticles
- $\rho$ : density
- *T*: temperature



# **Table Caption**

Table 4-1	Detailed conditions for the aluminum anodizations47
Table 5-1	Experimental conditions for the CNT growth on AAO template54
Table 6-1	Experimental conditions for the CNT density control on AAO template61
Table 7-1	Anodization conditions of the Al/TiN bilayered films for the preparation
	of titanium oxide nanodots76



# **Figure Caption**

Figure 1-1	Schematic drawing of the idealized structure of AAO2
Figure 1-2	The allotropes of carbon: graphite, diamond, C <sub>60</sub> , and CNTs3
Figure 1-3	HRTEM image of a self-organized InAs quantum dot grown on GaAs
	substrate4
Figure 2-1	Schematic diagram showing the electrochemical reactions and ionic paths
	involved during anodization of aluminum7
Figure 2-2	Schematic diagram of an arc-discharge system10
Figure 2-3	Schematic diagram of a laser ablation system11
Figure 2-4	A photograph of a dc-PE-CVD reactor for the growth of carbon nanotubes
	or nanofibres12
Figure 2-5	Schematic representation proposed for CNT growth from the pyrolysis of
	hydrocarbons on a metal particle
Figure 2-6	Image sequence of a growing carbon nanofibre14
Figure 2-7	Schematic diagrams of the base-growth model15
Figure 2-8	Electron micrographs of self-oriented nanotubes synthesized on n <sup>+</sup> -type
	porous silicon substrates
Figure 2-9	(a) An electron micrograph and (b) a schematic showing the straight/
	curled nanotube structure produced by an alternating plasma and thermal
	process. (c) is an electron micrograph showing a bundle of nanotubes with
	the upper portion straight and the lower portion curled17
Figure 2-10	Alignment mechanism based on stress-dependent growth rate and stress
	distribution caused by interaction of nanotube with electric field18
Figure 2-11	Electron micrographs of the cobalt-catalyzed CNTs grown out of the AAO
	template pores19
Figure 2-12	(a) Electron micrographs of a highly order CNT array grown on AAO after
	ion milling, (b) top view, and (c) side view of the CNT array after the
	AAO template was heavily etched in HF19
Figure 2-13	(A) STM topograph of strained germanium nanocrystals on silicon (001)
	substrate. (B) Higher magnification images of the nanocrystals20

Figure 2-14 (a) Top-view SEM image of a double-well GaAs/AlGaAs quantum dot

	array grown on GaAs (001) surface after removing the AAO template; (b)
	cross-sectional SEM image of a typical dot; and (c) schematic illustration
	of layer structure in each dot
Figure 2-15	(a) Schematic side-view of gold nanoparticles attached to a SAM by
	molecular wires. (b) Top-view SEM image of a self-assembled array of
	gold nanoparticles. Each dark dot represents a gold particle22
Figure 2-16	STM image of germanium islands on the silicon (111) substrate covered
	with 2.9 bilayer of germanium at 450 °C. Inset shows the height profile
	between white arrows
Figure 2-17	Potential-energy diagram illustrating the effect of an external electric field
	on the energy barrier for electrons at a metal surface
Figure 2-18	Structure and SEM image of a Spindt-type field emitter27
Figure 2-19	Perspective view of a Spindt-type FED in which one emitter array is
	shared by a red, green, and blue sub-pixel
Figure 2-20	CNT emitters formed in gated holes. Cross-section view (a), top view (b),
	and photograph of the anode emitting at the gate voltage of 100 V (c)30
Figure 2-21	Video running image of the CNT-based FED
Figure 3-1	Experimental flowchart for the fabrications and analyses of the AAO
	templated CNT and TiO <sub>2</sub> nanodot arrays
Figure 3-2	Schematic diagram of experimental setup for the aluminum electro-
	polishing and anodization
Figure 3-3	Schematic diagram of the microwave plasma ECR-CVD system for CNT
	growth
Figure 3-4	Schematic diagram of the field emission measurement setup
Figure 4-1	Contact-mode AFM images (5×5 $\mu$ m <sup>2</sup> ) of the as-deposited aluminum film
	surface: (a) 3D diagram; (b) roughness analysis41
Figure 4-2	Contact-mode AFM images (5×5 $\mu$ m <sup>2</sup> ) of the aluminum film surface after
	the electropolishing for 7 sec: (a) 3D diagram; (b) roughness analysis42
Figure 4-3	Schematic diagram of the two-step anodization process44
Figure 4-4	Top- or side-view FE-SEM images showing the two-step anodization
	process: (a) First anodization, (b) pre-textured aluminum film, and (c)
	second anodization and pore widening for 30 min45
Figure 4-5	Cross-sectional view FE-SEM images showing (a) the aluminum oxide

barrier layer and (b) the vertically aligned pore channels of AAO......46

- Figure 4-6 Anodic current density-anodizing time behaviors during the anodization of an aluminum film in a 0.3 M oxalic acid electrolyte. The insets are enlarged curve and schematic diagrams showing the anodization stages..47
- Figure 4-7 Top-view FE-SEM images of the nanoporous AAO films after the two-step anodization and pore widening. Anodization was conducted in (a) 0.3 M oxalic acid at 40 V (Sample A), (b) 0.6 M sulfuric acid at 20 V (Sample B), and 1.2 M sulfuric acid at 8 V (Sample C)......48

- Figure 6-2 (a) HRTEM image of a cobalt-catalyzed CNT shown in Figure 6-1(b). The hollow core is indicated by a dashed ellipse. (b) is the enlarged picture of the tube wall. (c) is a corresponding low magnification TEM image. The

	square presents the magnified area of the HRTEM image62
Figure 6-3	Graphitic Auger carbon (KVV) peaks of (a) an AAO assisted CNT grown
	at 50% CH <sub>4</sub> , (b) the deposited carbonaceous film on the AAO surface
	grown at 91% CH <sub>4</sub> , and (c) 50% CH <sub>4</sub> . Reference line shapes of diamond,
	graphite, and amorphous carbon are provided for comparison64
Figure 6-4	Raman spectra of the AAO assisted CNTs grown at (a) $9\%$ CH4, (b) $50\%$
	CH <sub>4</sub> , and (c) 91% CH <sub>4</sub> 65
Figure 6-5	Overgrown tube length from the AAO surface as a function of $\text{CH}_4$ and $\text{H}_2$
	concentrations
Figure 6-6	Schematic illustration of the growth model for the AAO template assisted
	CNTs
Figure 6-7	Tube number density of CNTs overgrown from AAO template as a func-
	tion of CH <sub>4</sub> and H <sub>2</sub> concentrations68
Figure 6-8	Field emission current density as a function of electric field for the CNTs
	grown on the AAO template with the three different tube densities shown
	in Figure 6-1
Figure 6-9	Corresponding Fowler-Nordheim plots of data shown in Figure 6-8. The
	slopes of the Fowler-Nordheim plot for the CNTs grown at 91% CH <sub>4</sub> are
	also indicated in the figure
Figure 6-10	Schematic band diagram of the CNT tip with an amorphous carbon dielec-
	tric layer
Figure 7-1	Schematic diagram showing the general steps of the formation process for
	titanium oxide nanodots under a porous AAO film75
Figure 7-2	Anodic current density-anodizing time behaviors during anodizing of the
	Al/TiN bilayered films in 0.3 M oxalic acid electrolyte (Sample A). The
	insets are schematic diagrams showing the anodization steps77
Figure 7-3	(a) Cross-sectional TEM image of the bottom of AAO nanopore channels
	after the completion of the anodization (Sample A). (b) Diagrammatic
	illustration of the TEM image in (a)78
Figure 7-4	EELS spectra showing the titanium $L_{2,3}$ -edge, nitrogen K-edge, and
	oxygen K-edge. The spectra correspond to three different positions as
	shown in the inset: (A) At the center, (B) at the bottom, and (C) at the
	underside of the titanium oxide dome (Sample A)79

Figure 7-5	XPS titanium $2p$ spectra as a function of sputter time for the na	nodot
	arrays of titanium oxides (Sample A) after the overlying AAO ten	nplate
	was removed by wet chemical etching	80

- Figure 8-1 Fabrication procedures of the nanodot-based field emission triodes......95
- Figure 8-2 Top-view FE-SEM image of the titanium oxide nanodot arrays after the

- Figure 8-5 (a) Side-view FE-SEM image of the nanodot-based field emission triode array. The inset shows a higher magnification image. (b) Side-view FE-SEM image of the nanodot emitter arrays inside the gate aperture.....100
- Figure 8-7 Gate-voltage dependence of anode and gate current. The inset shows the corresponding Fowler-Nordheim plot of the anode current......102

