Physical characteristics and optical properties of sol-gel derived Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> for optical waveguide

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### 中文摘要

本研究主要是在探討氧化鈦材料來當作母材的 鉺、龜共摻雜氧化鈦薄膜,其藉由龜離子的共摻 雜,將使得此薄膜所放射出的~1.54µm 螢光的發 光強度較現今被廣泛報導且具有良好螢光特性 的鉺、鋁共摻雜氧化矽薄膜的螢光強度還強約 17 倍,且此螢光頻譜的半高寬亦增寬約 1.4 倍,此 外,同時此薄膜亦具有較低的製程溫度(比鉺、龜 共摻雜氧化矽之製程溫度低~300°C),故其可應用 在積體光學中平面光波導放大器的製作上。

關鍵詞: 鉺、**鑢**共摻雜氧化鈦、螢光、溶凝膠、 分散性

#### Abstract

 $Er^{3+}-Yb^{3+}$  codoped TiO<sub>2</sub> films were prepared on fused silica by sol-gel processes. The maximum ~1.54  $\mu$  m photoluminescence (PL) intensity occurs in the  $Er^{3+}$  (5 mol %)-Yb<sup>3+</sup>(30 mol %) codoped TiO<sub>2</sub> samples annealed at 700 °C. However, when the concentration of  $Yb^{3+}$  ions attends to 50 mol %, the back energy transfer effect from  $Er^{3+}$  to  $Yb^{3+}$ will deteriorate the ~1.54  $\mu$  m PL efficiency. It was believed that the Yb<sup>3+</sup> ion does not only play a disperser but also a sensitizer to Er<sup>3+</sup> ion. This dual effects lead to the larger PL intensity in Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> system than that in  $Er^{3+}-Y^{3+}$  codoped  $TiO_2$  system. Even compared with the SiO<sub>2</sub> films with  $Er^{3+}$  (3 mol %)-Yb<sup>3+</sup> (30 mol %) codoped and annealed at an optimal temperature of 985 °C, the  $Er^{3+}-Yb^{3+}$  codoped TiO<sub>2</sub> film can obtain better PL properties at a lower annealing temperature.

Key words:  $Er^{3+}-Yb^{3+}$  codoped  $TiO_2 \sim$  photoluminescence  $\sim$  sol-gel  $\sim$  dispersion

#### **1. Introduction**

TiO<sub>2</sub> film has higher refraction index (n=2.52 for anatase and n=2.76 for rutile) as well as lower phonon energy (<700 cm<sup>-1</sup>)<sup>1</sup> than silica glass film,  $Er^{3+}$ -doped TiO<sub>2</sub>-based films have shown potential applications in the micro-integrated photonic devices. However, little detailed studies were made

to investigate the role of  $Er^{3+}$  content in the PL properties of  $Er^{3+}$ -doped TiO<sub>2</sub> films.<sup>2,,3</sup>

TheYb<sup>3+</sup> codopant has been demonstrated in increasing the variation of Er sites that results in the inhomogeneous broadening effect.<sup>4</sup> In addition, Yb<sup>3+</sup> ion can sensitize Er<sup>3+</sup> ions so as to enhance the ~1.54  $\mu$  m PL intensity.<sup>5</sup> However, the role of Yb<sup>3+</sup> ion in phase development and related optical properties of Er<sup>3+</sup>-doped TiO<sub>2</sub> system has been not investigated. Furthermore,  $Yb^{3+}/Y^{3+}/Er^{3+}$  ions have similar ionic radii ( $Yb^{3+} = 0.0862$ ,  $Y^{3+} =$  $\mathrm{Er}^{3+}$ 0.0892, and = 0.0881 nm) and  $Yb_2O_3/Y_2O_3/Er_2O_3$  have nearly the same crystal structural and lattice constant.

Therefore, it is very important to investigate the influence of co-dopant  $Yb^{3+}$  on the physical characteristics and optical properties of  $Er^{3+}$ -doped titania. Furthermore, the role of  $Yb^{3+}$  and  $Y^{3+}$ codopants in photoluminescence properties of  $Er^{3+}$ -doped titania materials will be discussed and compared.

# 2. Experimental

### A. Thin films preparation

The  $Er^{3+}-Yb^{3+}$  codoped  $TiO_2$  films were prepared from yttrium acetate, erbium acetate (Alfa) and titanium isopropoxide. The as-deposited films were first pyrolyzed under dry oxygen atmospheres at 400 °C for 30 min and then annealed at 600-1000 °C for 1 h in dry oxygen atmosphere.

#### **B.** Characterization measurements

The phase structures of films were analyzed by X-ray diffractometer. The electron spin resonance (ESR) spectra were recorded using a Bruker ESR spectrometer. The fluorescence spectra were excited by a 980 nm diode laser. Erbium  $L_{III}$ -edge X-ray absorption spectra were recorded at Synchrotron Radiation Research Center (SRRC), Hsinchu.

### 3. Results and discussion

#### 3.1. Structural evolution

The X-ray diffraction (XRD) patterns in Fig. 1(a) show the effect of annealing temperatures on structural evolution of  $\text{Er}^{3+}$  (5 mol %)-doped TiO<sub>2</sub>. It is obvious that the addition of more than 5 mol %

 $\mathrm{Er}^{3+}$  ions can lead to the destruction of TiO<sub>2</sub> network structure. The crystallinity of anatase TiO<sub>2</sub> was reduced and anatase-to-rutile  $(A \rightarrow R)$ transformation rate was accelerated (e.g., the anatase phase totally disappear at 900 °C annealing). Additionally, the  $Er_2Ti_2O_7$  pyrochlore phase (P) was detected at 800 °C. For the  $\text{Er}^{3+}$  (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub> samples, the XRD patterns in Fig. 1(b) show that when the samples were annealed 700 °C, the host matrix becomes amorphous for  $Yb^{3+}$  concentration above 10 mol %. Additionally, Yb<sup>3+</sup> codopant was found to accelerate the  $A \rightarrow R$  transformation; all of the anatase phase has been transformed into rutile phase at 800 °C. After the  $Er^{3+}-Yb^{3+}$  codoped TiO<sub>2</sub> samples were annealed at 900-1000 °C, both rutile (R) and pyrochlore (P) phase become more well-crystallized. Similar results are also observed in the  $Y^{3+}$ -doped TiO<sub>2</sub> system.

In addition, Fig. 2 shows the surface morphologies of  $Er^{3+}$ -doped TiO<sub>2</sub> and  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples annealed 700°C/1 h. The grain sizes of the TiO<sub>2</sub> samples doped with  $Er^{3+}$  (5 mol %),  $Er^{3+}$ -Yb<sup>3+</sup> (5-10 mol %) are about 60 and 25 nm, respectively. It has been well known that smaller grains can provide more surface nucleation sites, which can accelerate the A  $\rightarrow$  R phase transformation. Therefore, the smaller grain size in  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples than  $Er^{3+}$ -doped TiO<sub>2</sub> samples reflects the higher A  $\rightarrow$  R transformation rate.

Figure 3 shows the pseudo-radial distribution functions obtained from the  $k^3$ -weighted Fourier transforms of the Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples annealed at 700 for 1 h. Qualitative observation of the 700°C-annealed samples (i.e., no formation of pyrochlore phase) reveals that the first and second-neighbor distance around  $Er^{3+}$  is close to the Er-O and Er-Er bond length of Er<sub>2</sub>O<sub>3</sub>, respectively. This means that the local structure around  $Er^{3+}$  ions in the  $Er^{3+}-Yb^{3+}$  codoped TiO<sub>2</sub> systems is similar to that of the crystalline Er<sub>2</sub>O<sub>3</sub>. Additionally, it is noted that the second-neighbor distance around Er<sup>3+</sup> slightly increased with the increase of Yb<sup>3+</sup> concentration up to 30 mol%. The increased second-neighbor distance seems to reveal that the second shell may partially contain Yb<sup>3+</sup> ions. This phenomenon can be attributed to the fact that as  $\mathrm{Er}^{3+}$  and  $\mathrm{Yb}^{3+}$  have the same valence and close ionic radii, they can be replaced with each other. However, as the  $Er^{3+}$ -doped TiO<sub>2</sub> was codoped with 50 mol%  $Yb^{3+}$ , the second-neighbor distance around Er<sup>3+</sup> becomes larger as compared to that with 30 mol%  $Yb^{3+}$  codoped that is probably due to a high disorder around  $\mathrm{Er}^{3+}$  ions.

# 3.2. ~1.54 µ m photoluminescence properties

Figure 4 shows the ~1.54  $\mu$  m PL spectra of the  $Er^{3+}$  (5 mol %)-doped TiO<sub>2</sub> samples with 0-50 mol % Yb<sup>3+</sup> added and annealed at 700 °C for 1 h. All of the spectra were normalized to the same intensity to compare the difference between spectral features. The  $Er^{3+}$  (5 mol %)-doped TiO<sub>2</sub> sample exhibits a sharp PL spectrum with a  $1.537 \,\mu$  m main peak which is characteristic emission  $({}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2})$  of Er<sup>3+</sup> ion. However, by increasing the Yb<sup>3+</sup> codopant concentration, the PL spectra became more broaden. The maximum bandwidth (FWHM= 67 nm) of ~1.54  $\mu$  m PL spectra was obtained for the sample added with Yb<sup>3+</sup> content above 30 mol %. The line-broadening mechanism can be attributed to the inhomogeneously broadened transition of Er<sup>3+</sup> ions.<sup>6</sup> For the sample without Yb<sup>3+</sup> codopant, the well-resolved spectrum demonstrates that the Er<sup>3+</sup> ions are located in well-defined sites of TiO<sub>2</sub> matrix. Namely, each  $Er^{3+}$  ion occupies the similar type of site that has well-defined surrounding and hence each  $Er^{3+}$  ion experiences the similar crystal field. However, the  $Yb^{3+}$  codopant can destroy the network of TiO<sub>2</sub> host matrix, which results in the varying Er sites with different surrounding environment and causes a randomization of the Stark splittings. Therefore, the overall spectral lines resulting from the superimposed contributions of each Er site are inhomogeneously broadened.

Figure 5 illustrates that the influence of annealing temperatures on the ~1.54  $\mu$  m PL spectral feature of Er<sup>3+</sup>(5 mol%)-Yb<sup>3+</sup>(30 mol%) codoped TiO<sub>2</sub> samples. The higher annealing temperature ( $\geq 800$  °C) leads to the spectra with many splitting lines. From the XRD detection, it shows that the Er<sub>2</sub>Yb<sub>2-x</sub>Ti<sub>2</sub>O<sub>7</sub> crystallites were generated at the annealing temperatures above 800 °C. This means that the majority of Er<sup>3+</sup> ions should be located in the crystalline Er<sub>2</sub>Yb<sub>2-x</sub>Ti<sub>2</sub>O<sub>7</sub> phase and the Er<sup>3+</sup> bonding environment becomes uniform to produce the well-resolved PL spectrum.

The variation of the ~1.54  $\mu$  m PL intensity of Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples with Er<sup>3+</sup>-Yb<sup>3+</sup> concentration was summarized in Fig. 6. By codoping 10-30 mol % Yb<sup>3+</sup> ions into Er<sup>3+</sup>-doped TiO<sub>2</sub> samples, the PL intensity can be remarkably enhanced. Nevertheless, the 50 mol % Yb<sup>3+</sup> codopant leads to the reduction of PL intensities that might be related to the back energy transfer from Er<sup>3+</sup> to Yb<sup>3+</sup> ions<sup>6</sup> and the double energy transfer (DET). For comparison, the Er<sup>3+</sup>(5 mol%) -Yb<sup>3+</sup>(30 mol%) codoped silica film was also prepared and annealed at an optimal temperature of ~ 985°C. Figure 7 illustrates that the PL properties of the Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> system exhibit more intense emission (~2 times) and wider FWHM

(~1.5 times) than those of optimal  $Er^{3+}-Yb^{3+}$  codoped SiO<sub>2</sub> system. This result implies that the PL properties strongly depend on the composition and structure of the host materials. Furthermore, the  $Er^{3+}-Yb^{3+}$  codoped TiO<sub>2</sub> film does not only obtain better PL properties but also lower annealing temperature.

The dependence of annealing temperatures on the PL intensities of  $\text{Er}^{3+}$  (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped  $TiO_2$  samples were shown in Fig. 8. The increase in PL intensity from 600 to 700 °C is attributed to the reduction of OH hydroxyl content (as one can see in Fig. 9, the decrease of absorption around 4000-3000  $cm^{-1}$ **FTIR** corresponding to the O-H vibration mode increases with the annealing temperature.).<sup>7</sup> However, above 800 °C, the PL intensity is abruptly reduced that is strongly related to the formation of pyrochlore phase. The  $Er_xYb_{2-x}Ti_2O_7$  pyrochlore crystallites as identified from XRD patterns are generated at 800 <sup>o</sup>C and its crystallinity can be enhanced at higher temperature annealing. Therefore, the local structure around Er<sup>3+</sup> ions in the well-crystallized  $\mathrm{Er}_{\mathrm{x}}\mathrm{Yb}_{2-\mathrm{x}}\mathrm{Ti}_{2}\mathrm{O}_{7}$ becomes higher-order phase symmetry compared to that of  $Er^{3+}$  ions in amorphous host matrix. That results in the reduced probability of the normally forbidden intra-4f transition of  $Er^{3+}$  ions and the degradation in the PL efficiency.<sup>8</sup>

On the other hand, for the  $Er^{3+}$ -doped TiO<sub>2</sub> sample codoped with  $Y^{3+}$ , the ~1.54  $\mu$  m PL spectra (no shown here) exhibit the similar effects to the  $\mathrm{Er}^{3+}-\mathrm{Yb}^{3+}$  codoped TiO<sub>2</sub> samples. An enhancement of PL intensities with increasing  $Y^{3+}$  content was observed for the  $\text{Er}^{3+}$  (5 mol %)- $Y^{3+}$  (10-50 mol %) codoped TiO<sub>2</sub> samples as shown in Fig. 10. In contrast, a maximum PL intensity appears at the sample of  $\operatorname{Er}^{3+}(5 \mod \%)$ -Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub>, above that, a reduced PL intensity was observed such as for the sample with 50 mol%  $Yb^{3+}$ . As comparing the PL intensities of TiO<sub>2</sub> samples codoped with  $\operatorname{Er}^{3+}(5 \mod \%)$ -Yb<sup>3+</sup> (30 mol %) with  $\operatorname{Er}^{3+}(5 \mod \%)$ -Y<sup>3+</sup> (30 mol %). it demonstrates that the PL intensity in the former is about  $\sim 2$  times higher than that in the latter. According to the aforementioned experimental results. the mechanisms for the enhancement of PL intensity between Yb3+ and Y3+ codoping are somewhat different.

For the  $\text{Er}^{3+}$ - $\text{Y}^{3+}$  (or  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$ ) codoped TiO<sub>2</sub> systems without the formation of pyrochlore phase (i.e., the annealing temperature  $\leq 700$  °C), the EXAFS analysis (see Fig. 3) shows that the local environment of Er sites is similar to  $\text{Er}_2\text{O}_3$  in host matrix. We believe that the Er sites with  $\text{Er}_2\text{O}_3$ -like environment are optically active centers as the case in the  $\text{Er}^{3+}$ -doped silicate glasses.<sup>9</sup> Because  $\text{Er}^{3+}$ 

and  $Yb^{3+}$  (or  $Y^{3+}$ ) have the same valence and similar ionic radii, they could be replaced with each other and the -Er-O-Er- bonding structures can be changed into -Er-O-[Yb (or  $Y^{3+}$ )-O]<sub>n</sub>-Er-. Therefore, the more  $Y^{3+}$  codopant results in the larger atomic spacing among Er<sup>3+</sup> ions and the enhanced PL properties that can be attributed to the increased dispersion and solubility of Er<sup>3+</sup> ions in  $\mathrm{Er}^{3+}-\mathrm{Yb}^{3+}$  (or  $\mathrm{Er}^{3+}-\mathrm{Y}^{3+}$ ) codoped TiO<sub>2</sub> systems. However, it is worthy to note that with increasing  $Yb^{3+}$  up to 30 mol%, the PL intensity was remarkably enhanced. It implies that the  $Yb^{3+}$  ion does not only play a disperser but also a sensitizer to  $Er^{3+}$  ion. This dual effects might have the superimposed impact to the ~1.54  $\mu$  m PL efficiency, which seems consistent with our experimental results that the  $Er^{3+}-Yb^{3+}$  (10-30 mol %) codoped TiO<sub>2</sub> samples have larger PL intensity than that of  $Er^{3+}-Y^{3+}$  (10-30 mol %) codoped TiO<sub>2</sub> samples.

### 4. Conclusions

- (1) The maximum ~  $1.54 \,\mu$  m PL intensity was obtained for the Er<sup>3+</sup> (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub> sample and annealed at 700 °C. This can be attributed to the competition between the content of hydroxyl groups and Er site symmetry. Below 700 °C, the content of hydroxyl groups plays an important role in PL intensity. On the other hand, above 700 °C, the formed pyrochlore phases in the Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> systems can result in the degradation of ~1.54  $\mu$  m PL efficiency and the formation of well-resolved spectral lines.
- (2) The local chemical environment of  $Er^{3+}$  ions in  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> films is similar to that in  $Er_2O_3$  and the average spatial distance between  $Er^{3+}$  ions is slightly increased due to the partial substitution of Yb<sup>3+</sup> for  $Er^{3+}$  ions in the  $Er_2O_3$ -like local structure. That indicates that Yb<sup>3+</sup> ion can act as disperser to  $Er^{3+}$  ions and reduce the concentration quenching effect.
- (3) In comparison with the  $Er^{3+}$ - $Y^{3+}$  codoped TiO<sub>2</sub> samples, the Yb<sup>3+</sup> ion in the  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples does not only play a disperser but also a sensitizer to  $Er^{3+}$  ion. This dual effects lead to the larger PL intensity in  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> system than that in  $Er^{3+}$ -Y<sup>3+</sup> codoped TiO<sub>2</sub> system. However, when the concentration of Yb<sup>3+</sup> ions attends to 50 mol %, the back energy transfer effect from  $Er^{3+}$  to Yb<sup>3+</sup> will deteriorate the ~1.54  $\mu$  m PL efficiency.

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Fig. 1. XRD patterns of (a)  $Er^{3+}$ -doped TiO<sub>2</sub> and (b) different  $Er^{3+}$ -Yb<sup>3+</sup> codoped TiO<sub>2</sub> films annealed at 700-1000 °C for 1 h.



Fig. 2. Dependence of various  $Er^{3+}$ - $Y^{3+}$  codoped TiO<sub>2</sub> Films at 700°C on refractive index.



Fig. 3 Fourier transform of EXAFS function at Er  $L_{III}$ -edge for the samples annealed at 700 °C with various Yb content.



Fig.4 ~1.54  $\mu$  m PL spectra of the Er<sup>3+</sup> (5 mol %)-doped TiO<sub>2</sub> samples with 0-50 mol % Yb<sup>3+</sup> codopant annealed at 700 °C for 1 h.



Fig. 5 ~1.54  $\mu$  m PL spectra of theEr<sup>3+</sup> (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub> annealed from 700 to 1000 °C for 1 h.



Fig. 6. Dependence of Yb<sup>3+</sup> concentration on the ~1.54  $\mu$  m PL intensity of Er<sup>3+</sup>-Yb<sup>3+</sup> codoped TiO<sub>2</sub> samples added with different concentration of Er<sup>3+</sup> ions and annealed at 700 °C/1 h.



Fig. 7 PL intensity of the  $Er^{3+}$  (5 mol %)-doped TiO<sub>2</sub> samples with the 30 mol % Yb<sup>3+</sup> codopant annealed from 600 to 1000°C for 1 h.



Fig. 8 Comparison of ~1.54  $\mu$  m PL spectra of Er<sup>3+</sup> (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub> and SiO<sub>2</sub> films.



Fig. 9. FTIR transmittance spectra of  $Er^{3+}$  (5 mol %)-Yb<sup>3+</sup> (30 mol %) codoped TiO<sub>2</sub> annealed from 600-1000 °C.



Fig. 10 Comparison of ~1.54  $\mu$  m PL intensities between Er<sup>3+</sup> (5 mol %)-Yb<sup>3+</sup> (10-50 mol %) and Er<sup>3+</sup> (5 mol %)-Y<sup>3+</sup> (10-50 mol %) codoped TiO<sub>2</sub> system annealed at 700 °C for 1 h.