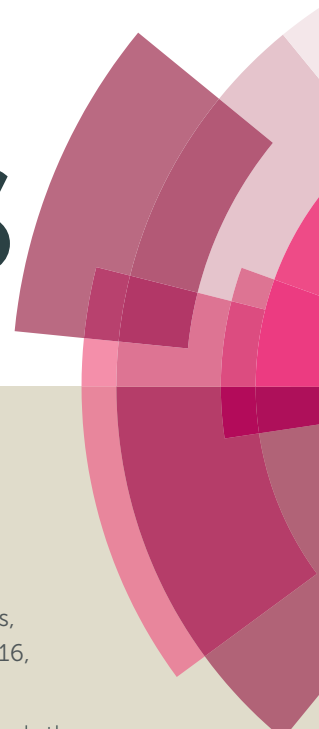


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Hypochlorite scavenging activity of cerium oxide nanoparticles

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In this report, we provide evidence that specific synthesis of cerium oxide nanoparticles can scavenge hypochlorite anion, which is a strong extracellular oxidant involved in the inflammatory processes. The scavenging process takes place by a surface reaction involving the evolution of oxygen and the reduction of Ce⁴⁺ to Ce³⁺.

Reactive oxygen species (ROS) or oxidants are associated with different diseases and aging¹. Among them, HOCl/CIO[•] is a strong oxidant produced by activated neutrophils and monocytes. Activated neutrophils or monocytes produce myeloperoxidase, which reacts with hydrogen peroxide and chloride ions giving rise to hypochlorous acid, HOCl, a strong non-selective oxidant^{2, 3}. HOCl production is part of the host defense mechanism against microorganisms; however, these properties may involve the risk of tissue damage through the same processes used in the destruction of invading microorganisms. In fact, neutrophil oxidants have been shown to be implicated in the tissue injury associated with inflammatory diseases, including respiratory distress, ischemia-reperfusion injury, acute vasculitis, arthritis, inflammatory bowel diseases, and glomerulonephritis⁴.

Antioxidant and some natural compounds have been shown to scavenge hypochlorite such as flavonoids, polyphenols, hydroxycinnamic acids, etc^{5–8}. Cerium oxide nanoparticles (CNPs) have recently been shown as an inorganic regenerative

antioxidant in biological systems⁹. CNPs are characterized by a complex redox chemistry due to the presence of Ce³⁺ atoms accompanying oxygen vacancies which are surrounded by Ce⁴⁺ atoms¹⁰. It has been reported that CNPs display superoxide-dismutase mimetic activity⁹, catalase mimetic activity¹¹ and the capacity to scavenge nitric oxide radicals¹². Therefore, CNPs could potentially be used in biomedicine to fight against oxidative stress.

Although there are studies which describe the antioxidant properties of CNPs^{13, 14}, in particular superoxide radical, hydrogen peroxide, hydroxyl radical and nitric oxide/peroxynitrite radical. In general, the antioxidant activity of these nanoparticles has been measured indirectly by intermediaries^{15, 16} and the reactions and subproducts which are generated after the interaction between CNPs and free radicals have not been fully characterized. Moreover, until now, there has been no evidence that CNPs can scavenge HOCl/CIO[•]. A complete knowledge of the interaction of all physiological compounds with CNPs is completely necessary before assessing the massive use of CNPs in biomedical applications. In this study, we investigated whether CNPs are capable of scavenging HOCl/CIO[•] and explored the reaction mechanism by which this phenomenon occurs. The proposed scavenging method which is shown here improves the speed of scavenging reaction of this particular ROS in comparison with already published articles¹⁷ and clearly shows that it is effective in an *in vitro* cell model.

It is well established that physicochemical properties of CNPs govern the catalytic activity. Therefore, in this study we have selected four different CNPs (synthesized or commercially available) which have been used in previous studies and named as CNP1¹⁸, CNP2¹⁹, CNP3¹² and CNP4¹⁸. Physicochemical properties of the four nanoparticles were thoroughly analyzed and summarized in Table I. In particular, size, morphology, agglomeration status, surface charge and surface Ce³⁺/Ce⁴⁺ were measured. These above mentioned parameters were investigated as catalytic activity of CNPs can be highly influenced by these parameters as follows: 1. Size – directly correlated with surface area and density of active site; 2.

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Morphology and crystallinity –surface energy and stability of the particles; 3. Agglomeration status – directly correlated with available surface for catalytic activity; 4. Surface $\text{Ce}^{3+}/\text{Ce}^{4+}$ - the presence of both surface oxidation states at nanoscale make nanoparticles catalytically active, CNPs with higher $\text{Ce}^{3+}/\text{Ce}^{4+}$ scavenge more superoxide radicals whereas lower $\text{Ce}^{3+}/\text{Ce}^{4+}$ scavenge hydrogen peroxide and nitric oxide radicals²⁰. The size and morphology of particles were assessed using High Resolution Transmission Electron Microscopy (HRTEM). They were all round-shaped with particle nominal size in the 3-20 nm range. The Selected area electron diffraction (SAED) pattern revealed that all four particles were crystalline (HRTEM images and SAED pattern are shown in the supplementary document, Supplementary Figure S1).

Effective diameter and zeta-potential of the CNPs suspensions were measured by Dynamic light scattering (DLS) and electrophoretic light scattering, respectively (Zetasizer Nano ZS from Malvern Instruments). The data, obtained for 1 mM suspensions in high purity water at pH 7.5, are shown in Table 1. X-Ray photoelectron spectroscopy data were collected for Ce 3d_{5/2} using 5400 PHI ESCA spectrometer (Mg-Kα X-radiation (1253.6 eV) at a power of 350 W was used during the data collection). Surface $\text{Ce}^{3+}/\text{Ce}^{4+}$ was calculated as discussed in our previous publication²¹.

Table 1. Physicochemical characterization of CNPs.

Sample	Surface Ce^{3+} (%)	Shape	ζ -potential (mV)	Effective diameter(DLS) (nm)
CNP1	27	round	52.3 ± 0.5	50.8 ± 0.9
CNP2	40	round	56.5 ± 1.4	58.8 ± 0.8
CNP3	30	round	46.0 ± 2.5	9.4 ± 2.1
CNP4	57	round	18.6 ± 0.6	30.8 ± 2.8

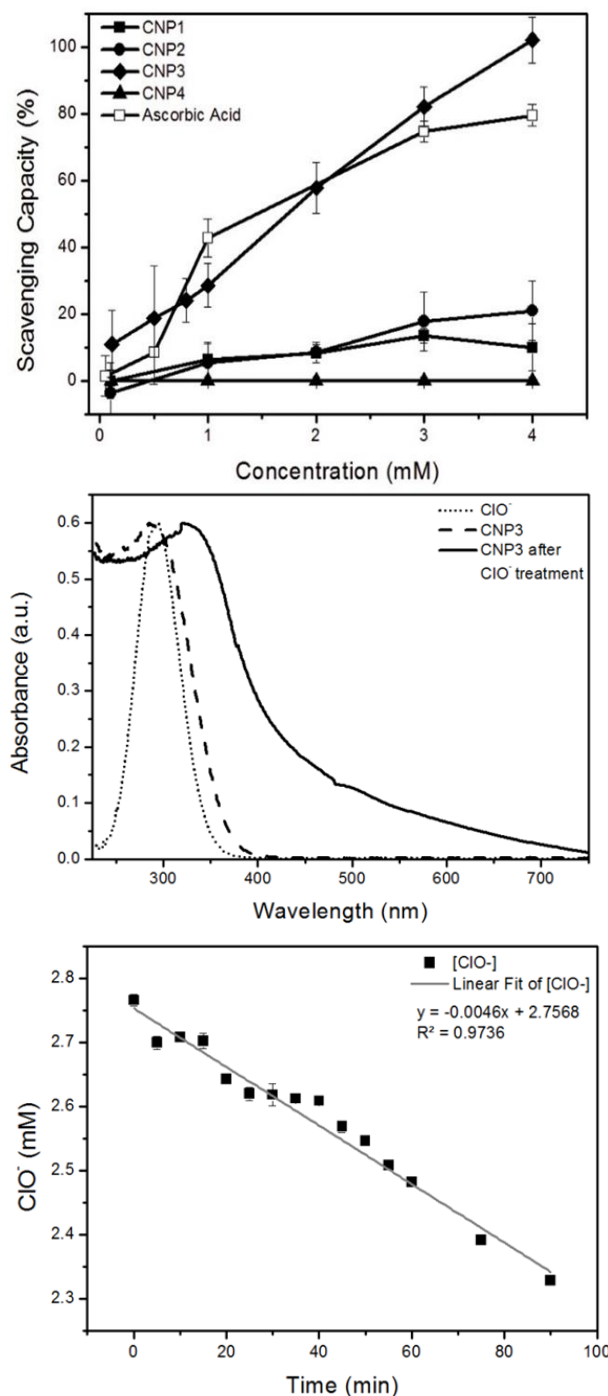
These well characterized nanoparticles were investigated for ClO^- scavenging activity. The nanoparticle suspensions (from 0.1 to 4 mM) were incubated for 60 min with freshly prepared hypochlorite solutions (5 mM, pH 9.0 ± 0.2). Then, the particles were separated by centrifugation (23000 g, 5 min). The amount of ClO^- (at the working pH, hypochlorous acid, pKa 7.5, is 97% dissociated) in the supernatant, which decreased due to the scavenging activity of CNPs, was tracked by measuring the inactivation of catalase. The method followed the procedure described by Aruoma and Halliwell²² with minor variations. The scavenging activity was evaluated by measuring the decrease in absorbance of catalase at 404 nm using microplate readings (FLUOstar Omega microplate reader, BMG LABTECH, Germany). The data are shown in Figure 1A for the tested CNPs and the reference antioxidant compound ascorbic acid²³. Scavenging activity (%) was calculated using the following formula [1].

$$\text{Scavenging Activity (\%)} = \frac{(C_x - C_{t2})}{(C_{t1} - C_t)} \cdot 100 \quad (1)$$

Where C_{t1} is the catalase absorbance (measured at 404 nm) without ClO^- (intact catalase); C_{t2} is the catalase absorbance in the presence of ClO^- (degraded catalase) and C_x is the catalase absorbance with ClO^- which was previously in contact with CNPs or ascorbic acid.

The data show that CNP3 has strong ClO^- scavenging activity, with reaction extents comparable to the same concentration of ascorbic acid. The interaction of CNP3 with ClO^- was

(A) ClO^- scavenging activity of CNP determined from catalase inactivation measurements. (B) UV-vis spectra showing the interaction of CNP3 and ClO^- . (C)



Kinetics of ClO^- depletion by CNP3

revealed by absorption spectroscopy. The absorption spectra of CNP3, recorded using a Perkin Elmer Lambda 750S instrument, show a shift in the absorption peak from 300 to 322 nm in CNP3 exposed to ClO^- (60 min), separated by centrifugation and carefully washed three times before recording the spectrum

(Figure 1B). A similar phenomenon was found with CNP2 (see supplementary Figure S2). Absorbance values at wavelengths 298 and 252 nm are the characteristic UV-Vis absorbance for Ce^{4+} and Ce^{3+} , respectively²⁴. Figure 1B clearly indicates that there is a shift in CNPs surface oxidation state from Ce^{4+} to Ce^{3+} after reacting with ClO^- . The disappearance of ClO^- from CNPs suspensions could not be attributed to its mere adsorption on the nanoparticle surface. A kinetic study was undertaken in mixtures of ClO^- and CNPs (5 mM) by following the evolution of hypochlorite concentration with time. The UV absorbance at 292 nm (molar absorptivity $350 \text{ M}^{-1} \text{ cm}^{-1}$) was measured to estimate the concentration of the ClO^- on the supernatant of centrifuged samples²⁵. The corresponding data are shown in Figure 1C for CNP3. We selected CNP3 as CNP3 showed highest ClO^- scavenging activity. A Langmuir kinetics assuming surface equilibrium yields the following expression²⁶:

$$r = kKC/(1 + KC) \quad (2)$$

Where k is the rate of reaction of adsorbed species, K the adsorption equilibrium constant and C is the concentration of ClO^- . Given the relatively low concentration used, the zero-order kinetics depicted in Figure 1C points towards a high adsorption constant of ClO^- on CNP.

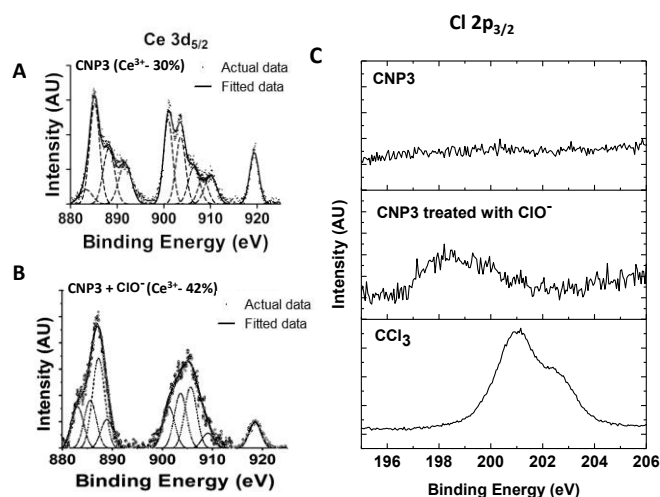
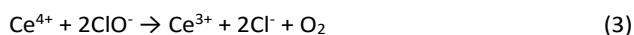


Figure 2. The chemical property of the CNP3 after treatment with ClO^- . (A) and (B) show a significant change in $\text{Ce } 3d_{5/2}$ XPS spectrum after treatment with ClO^- . (C) Shows $\text{Cl } 2p_{3/2}$ spectra of CNPs, CNPs treated with ClO^- and CeCl_3 (CeCl_3 was used as reference) and indicates the presence of Cl on the surface of the nanoparticles after reaction of ClO^- .

In order to better understand the interaction between ClO^- and CNP, XPS analysis was performed to further confirm the change in $\text{Ce}^{3+}/\text{Ce}^{4+}$ on the surface of the nanoparticles seen in UV-Vis data. CNP3 was washed three times with distilled water after 60 min treatment with 5 mM ClO^- , to make sure there was no free ClO^- . The $\text{Ce } 3d_{5/2}$ spectra recorded (Figure 2A & B) showed a significant increase in surface Ce^{3+} (12%) after interaction of CNP3 with ClO^- for 60 min at pH 9.0. The presence of chloride on CNP3 surface after treatment with ClO^- is also apparent (Figure 2C). The shift in $\text{Cl } 2p_{3/2}$ spectrum compared to CeCl_3 may signify a complex formation on the surface of the nanoparticles rather than formation of CeCl_3 on the surface.

Based on these results, we hypothesized the following reaction by means of which ClO^- is depleted by interaction with CNP3:



The reaction accounts for the formation of chlorine-metal bonds due to chloride adsorption on the positively charged surface and should be accompanied by a release of oxygen. In order to prove that ClO^- decomposes as indicated, we performed direct measurements of oxygen evolution using a Clark-type oxygen electrode. The experiments were performed at 25°C under constant stirring (0.1 g) using a Hansatech apparatus (Kings Lynn, Norfolk, UK) with 1 mM CNPs and 5 mM of ClO^- .

The results are shown in Figure 3 and revealed a significant evolution of oxygen for CNP3 (CNP4 and ClO^- without nanoparticles are also shown for comparison), which amounted to 8 nmol/min or $0.32 \text{ mol O}_2 \text{ mol CNP}^{-1} \text{ h}^{-1}$. There was no evidence of oxygen release when nanoparticle or ClO^- alone was added (supplementary Figure S3). Lastly, we explored if CNP could scavenge ClO^- in the cellular environment. RAW 264.7 cells culture was selected as *in vitro* model and boron dipyrromethene-based fluorometric probe, HCS, was used to detect the ClO^- ²⁷. Data are shown in Figure 4. Confocal images clearly indicate that concentration of ClO^- in the cells pre-treated with CNP3 is comparable to the control. $1 \mu\text{M}$ concentration of CNP3 was sufficient to scavenge the ClO^- to its basal level (control) in the RAW cell model. Fluorescence intensity was also measured using image J software and is shown in supplementary Figure S4.

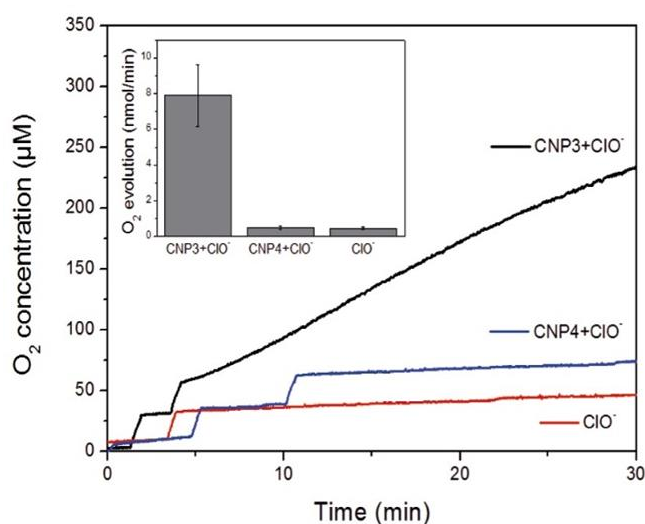


Figure 3. Oxygen evolution for CNP in contact with ClO^- .

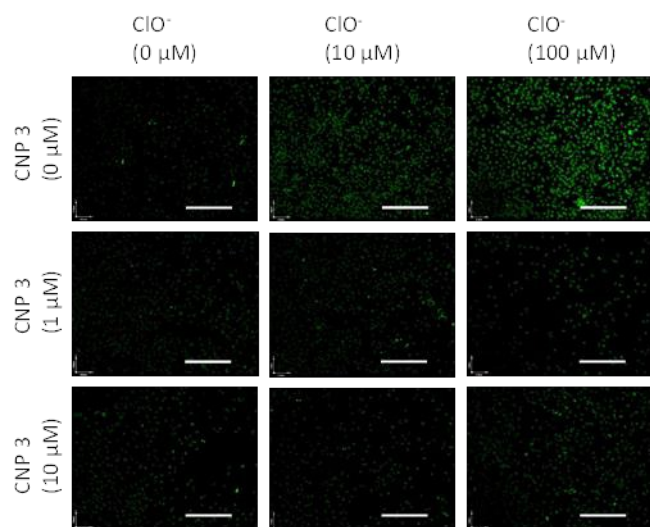


Figure 4. Scavenging of ClO^- by CNP3 in vitro RAW cell culture model. Pretreatment with 1 μM CNP3 able to scavenge the most of the ClO^- (comparable to control); scale bar 50 μm .

Conclusions

The higher activity of CNP3 in comparison with the other tested nanoparticles is apparent from the data displayed in Figure 1, 2 and 3. We attributed this higher reactivity to its significantly lower effective particle size, with DLS diameters below 10 nm versus 30–50 nm for the other particles and to higher Ce^{4+} on the surface. The effect of particle size on surface chemistry has already been reported for CNPs²⁸. Decrease in nanoparticles size and increase in stability are directly related to increase in the active surface area for the reaction⁹. From the proposed reaction and XPS data it can be concluded that higher surface Ce^{4+} is necessary for better ClO^- scavenging. It is interesting to mention that CNP1 (73%) has a comparable amount of Ce^{4+} to that of CNP3 (70%) and similar nominal size (5–8 nm); however, CNP3 has the highest scavenging activity. Thus, lower stability of CNP1 (higher agglomeration, Table 1) contributed towards lower ClO^- scavenging activity. Noteworthy, CNP2, which also showed a minor surface interaction as revealed by absorption spectroscopy, had a surface composition very similar to that of CNP1. In conclusion, we determined that CNPs were able to scavenge ClO^- both in test tube and in vitro RAW cell culture model by surface interaction and a reaction involving the evolution of oxygen and the reduction of Ce^{4+} to Ce^{3+} . The results are relevant because HOCl/ClO^- is involved in tissue damage due to overstimulation of inflammatory processes.

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